



ESAC als Informations- und Ressourcen-Hub der Open Access-Transformation

Ádám Dér

Max Planck Digital Library

DEAL Praxis-Webinar

1. Dezember 2022

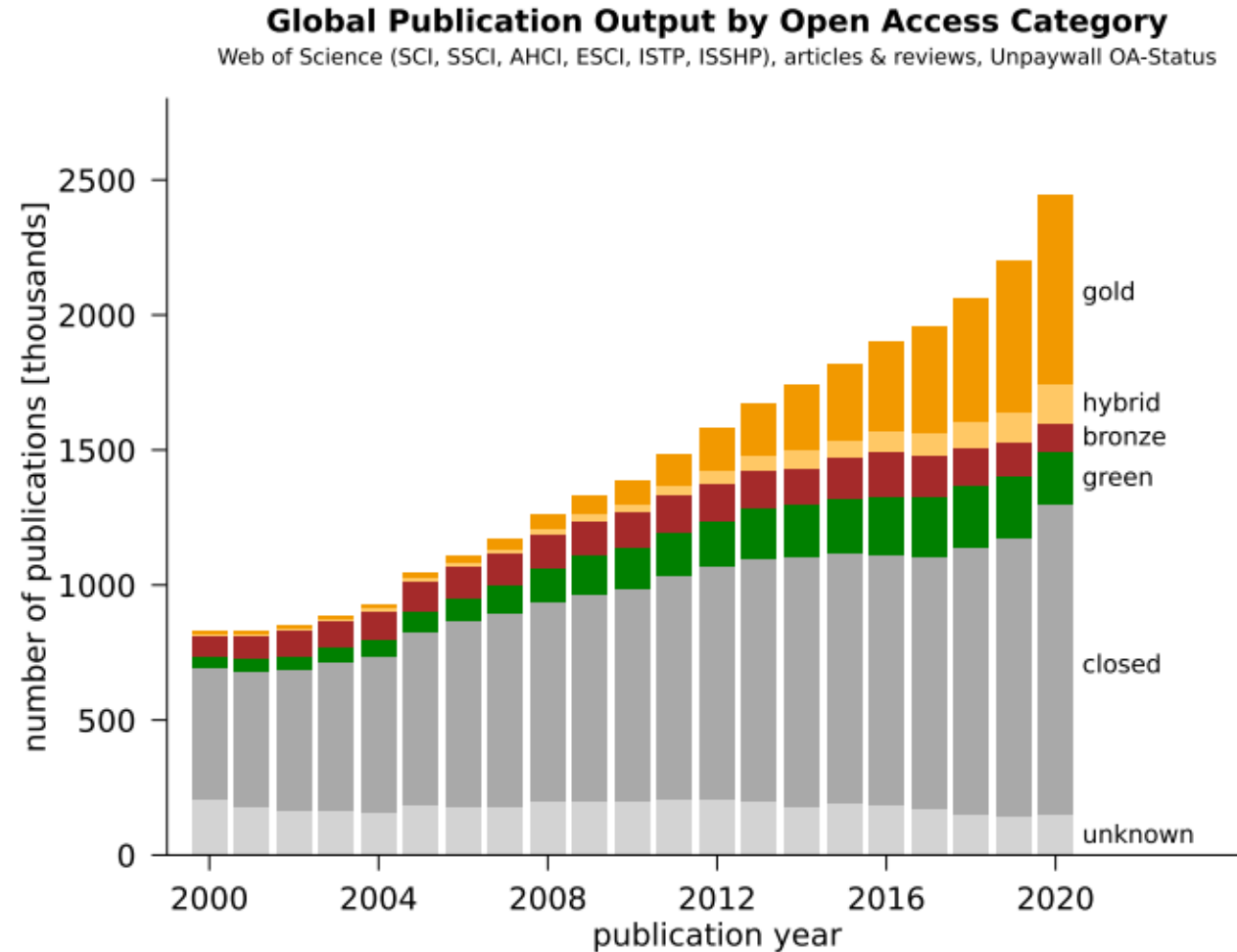
esac-initiative.org | [@ESACinitiative](https://twitter.com/ESACinitiative)

ESAC ist eine offene Arbeitsgemeinschaft von Informationsexpert*innen, die sich dafür einsetzen, die Open Access-Vision in die Praxis umzusetzen.

Im Rahmen von Transformationsvereinbarungen passen Bibliotheken und Konsortien ihre Arbeitsabläufe, Datenströme, Verwaltungsprozesse, Organisationsstrukturen und Haushaltsrichtlinien an, um sich auf die vollständige Umstellung des Publikationssystems vorzubereiten. Im Rahmen von ESAC setzen sie sich dafür ein, dass...

- ... Open-Access-Workflows für Autor*innen und Bibliotheken optimiert werden.
- ... sich einheitlichen Verfahren und bewährte Praktiken für Datenaustausch und –auswertung etablieren.
- ... die Marktbedingungen des wissenschaftlichen Verlagswesens transparent sind.
- ... Verhandlungen mit Verlagen effektiver gestaltet werden können, indem Erfahrungen und Erkenntnisse ausgetauscht werden.
- ... Bibliotheken und Konsortien Kapazitäten aufbauen, um sich auf das Open Access-Paradigma vorzubereiten.

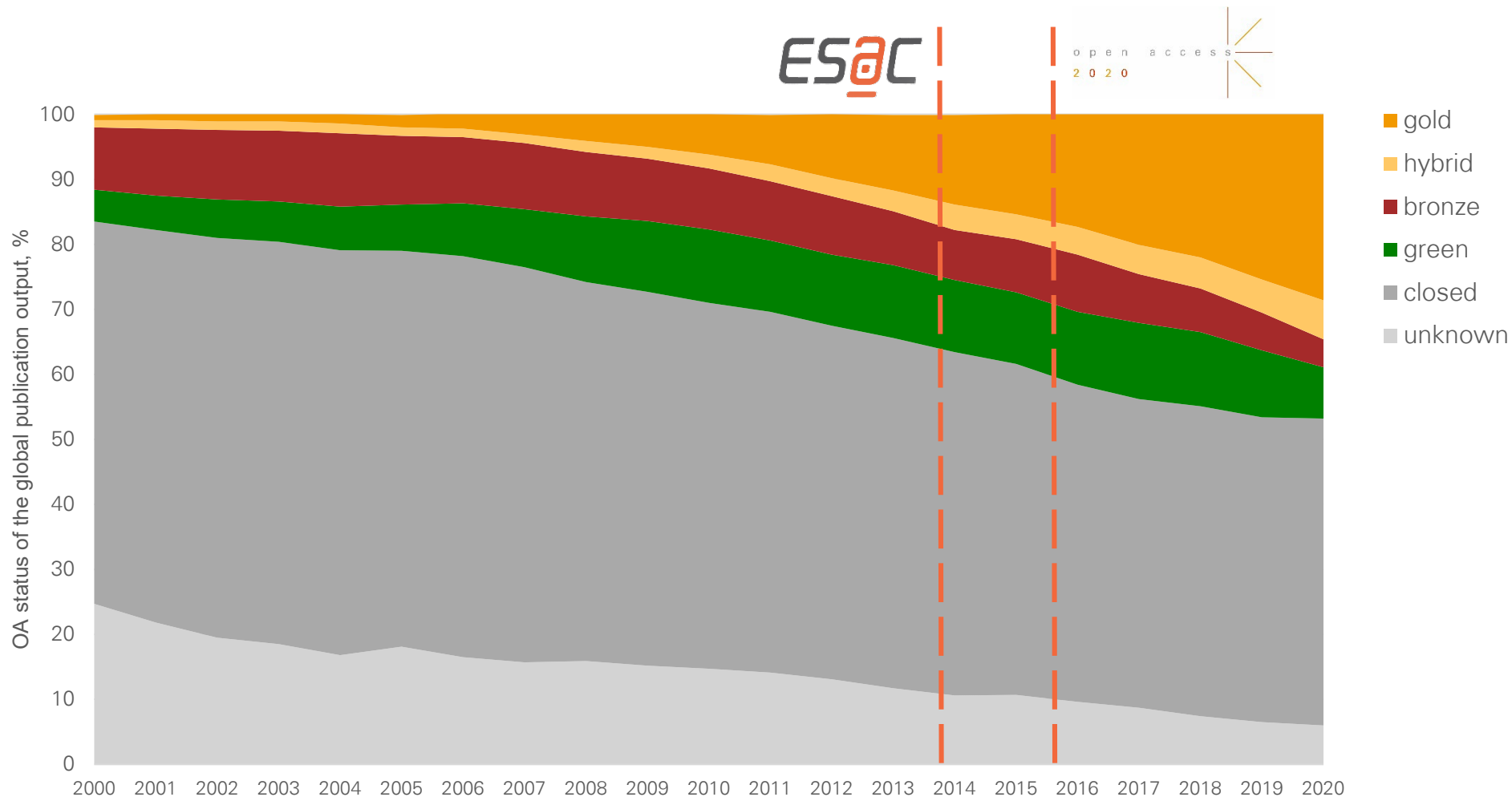
Die Publikationslandschaft verändert sich



Source [Long-term global trends in open access](#)

A data paper by the MPDL Big Data Analytics Group. DOI [10.17617/2.3361428](https://doi.org/10.17617/2.3361428)

Die Publikationslandschaft verändert sich



Source Long term global trends in open access. Supplementary material

A data paper by the MPDL Big Data Analytics Group. DOI [10.17617/3.8s](https://doi.org/10.17617/3.8s)

ESAC Workflow Recommendations for Transformative and Open Access Agreements

These recommendations were developed in 2017, at the *2nd ESAC Offsetting Workshop* attended by libraries, funders and publishers from seven European countries, the United States and Japan. They have also been published in *UKSG Insights*. To learn more, please read also *an article* by colleagues at the Vienna University Library about workflows for open access agreements.

ESAC REFERENCE GUIDE TO TRANSFORMATIVE AGREEMENTS



Sample agreement terms

- Sample Workflow Terms ([PDF](#)) ([Word](#))
- Open Access Service Level Agreement ([PDF](#)) ([Word](#))

2021 Enhancement to the ESAC Workflow Recommendations

Experts from the international ESAC community came together in 2021 to review the current ESAC Workflow Recommendations (2017) and make enhancements based on their critical insights and experience accumulated through implementation of transformative and central open access publishing agreements at the forefront of the open access transition in scholarly publishing.

This 2021 enhancement to the ESAC Workflow Recommendations comprises an updated perspective on the responsibilities of the contractual partners and the metadata necessary to optimize workflows around open access publishing.

Contact [ESAC](#) if you would like to suggest additional enhancements to the ESAC Workflow Recommendations based on your own experiences.

Responsibilities of institutions/funders/consortia

[Show More](#)

Responsibilities of publishers

[Show More](#)

Relevant metadata

[Show More](#)

Other events

19 January 2022 | Webinar

Talk with the experts about the new [ESAC Resources on Transformative Agreements](#)

28-29 June 2018 | 3rd ESAC Workshop 2018

On the Effectiveness of APCs

12 May 2017 | INTACT-Projekttag (German language only)

“Offsetting” und “OA-Transformationsverträge”

9-10 March 2017 | 2nd ESAC Workshop 2017

Putting pay-as-you-publish into practice: Towards an automated APC handling

7-8 March 2016 | 1st ESAC Workshop 2016

Shaping the Parameters of Offsetting

18 November 2013 | APC Workshop 2013

Towards an efficient system for managing APCs

ESAC Data Analytics Working Group

Uncover the publishing profile of your institution

Institutions and consortia looking to gain an understanding of the publishing trends of their authors in order to prepare for negotiation of transformative and open access publishing agreements will need to find answers to key questions such as:

MARKET WATCH



HOW TRANSFORMATIVE IS IT?

A spectrum of transformation drivers leading to an open scholarly publishing paradigm



Negotiation principles internationally

Vermittlung wichtiger Informationen über die Art und die Übergangsmechanismen von transformativen Vereinbarungen zur Stärkung von **Geschäftsstandards** und **Markttransparenz**:

- Bedingungen der Vereinbarung
- Übergangsmechanismus der Gebühren
- Publikationsrechte
- Assessment
- Links und Kontakte

“The Consortium may disclose the general structure of the pricing model in this Licence at a headline level, including, but not limited to, via a submission by the Consortium in the ESAC Transformative Agreement Registry (‘ESAC’)”

Size

What is the approximate range of annual corresponding author publications from your institution/consortia with the publisher (in closed, hybrid and fully OA journals)? The figure must not necessarily match the amount of eligible articles under the transformative agreement but gives a general idea of the size of your agreement. *

Article types

Eligible article types *

- Original research articles
- Review articles
- Proceedings
- Letters
- Editorials
- Other

Costs

How do the costs of the agreement relate to previous subscription-only agreements with the publisher? *

- Agreement costs within the range of the previous spending level
- Cost increase
- Cost decrease

Comments on cost development

Financial Shift

Transformative agreements vary by their transformative mechanisms, meaning the way in which financing is shifted from the subscription side to open access publishing. *

- Subscriptions fully converted to OA publishing fees (access related costs 5% or less)
- Subscriptions partly converted to OA publishing fees
- Others

Are fully open access journals covered by the agreement? *

- Yes
- Fully OA titles are included selectively
- No

Studien und Ressourcen basieren auf der Registry **ES@C**



Plan S

Making full & immediate
Open Access a reality

 JOURNAL CHECKER TOOL



Original Article |  Open Access |  

Transformative agreements: Do they pave the way to open access?

Ángel Borrego  Lluís Anglada, Ernest Abadal

First published: 03 December 2020 | <https://doi.org/10.1002/leap.1347> | Citations: 11

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Articles

Transformative agreements in the development of open access

Vladimir M. Moskovkin , Tatyana V. Saprykina  & Igor V. Boichuk 

Pages 165-207 | Published online: 26 Sep 2022

 <https://doi.org/10.1080/1941126X.2022.2099000> 



Association of Learned
and Professional
Society Publishers

OA Agreements

Toolkit to foster Open Access Agreements

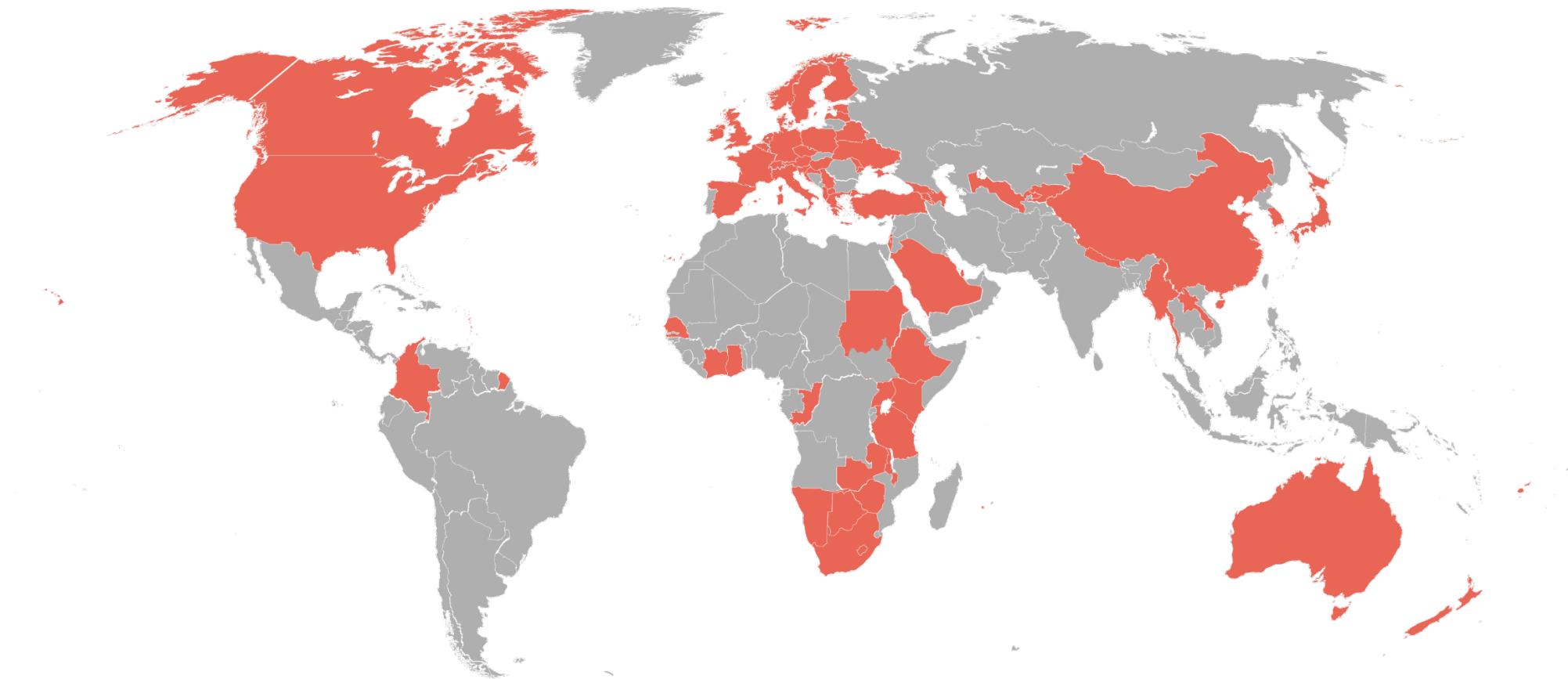
Contracting in the Age of Open Access Publications. A Systematic Analysis of Transformative Agreements

The “socioeconomics of scientific publication” Project, Committee for Open Science

Final report – 17 December 2020
Contract No. 206-150

Transformationsverträge weltweit

2022



Source Countries with transformative agreements listed in the ESAC Registry

ESAC Registry of Transformative Agreements

<https://esac-initiative.org/about/transformative-agreements/agreement-registry/>

Get involved!

**Explore the
ESAC resources**



esac-initiative.org

[Register your
transformative
agreements!](#)

Contact us



contact@esac-initiative.org